



Commission on Higher Education
Office of Planning, Research and Knowledge Management

CALL FOR PROPOSALS Research and Innovation Grants-in-Aid (GIA) Program

01 April 2017

I. Background

Pursuant to Republic Act 7722 or “An Act Creating the Commission on Higher Education (CHED)”, it is the mandate of the Commission “to inspire and enable Philippine higher education institutions (HEIs) in becoming platforms for research and development, innovation and extension in pursuit of inclusive social and economic development.”

CHED’s Research Management Division, the office tasked to strategize, manage and fund research and extension programs for HEIs, is now accepting grant applications for 2017. CHED’s **Research and Innovation Grants-In-Aid (GIA) Program** provides a competitive enabler grant amounting to a maximum of Ten Million Pesos (Php 10M) for 1-2 years per research project. The GIA Program aims to build a higher education strategic research portfolio through collaborative and disruptive research and innovation projects among Philippine HEIs.

Further, the role of research and development as a necessary component of national development and progress is articulated in Republic Act No. 10055, or the Philippine Technology Transfer Act of 2009. Through this Act, the State commits to “facilitate the transfer and promote the utilization of intellectual property for the national benefit”, through public or private organizations, association, partnership, joint venture, higher education institutions, or corporations”, otherwise known as Research and Development Institute or Institutions (RDIs).

II. Platforms Covered

The Commission on Higher Education sees the Grants-in-Aid (GIA) programs as strategic investments in the country’s research and development in pursuit of national development and inclusive growth. Proposals are requested for research and development focusing on the areas: (1) **Food Production and Security**, (2) **Environment, Disaster Risk Reduction, Climate Change and Energy**, (3) **Terrestrial and Marine Resources: Economy, Biodiversity and Conservation**, (4) **Smart Analytics and Engineering Innovations**, (5) **Health Systems**, (6) **Education in STEAM**, (7) **Social Sciences, Arts and Humanities**.

Proponent investigators from higher education institutions are advised to read and refer to the CHED Memorandum Order No. 52, series 2016¹: **PATHWAYS TO EQUITY, RELEVANCE AND ADVANCEMENT IN RESEARCH, INNOVATION, AND EXTENSION IN PHILIPPINE HIGHER EDUCATION** for guidance.

¹ CHED Memorandum Order 52 (2016) retrieved from <http://api.ched.ph/api/v1/download/2673>

III. Eligibility

General Eligibility

1. Open to all State Universities and Colleges (SUCs), Local Universities and Colleges (LUCs), and private HEIs who meet the following requirements:
 - a. Must be a CHED-recognized HEI;
 - b. Must have functioning University Research Council (URCs) and Institutional Research Boards (IRBs)
2. The grant application must be submitted by an HEI in collaboration with one or more HEIs and/or an industry partner. Under a collaborative set-up, it is the Lead HEI, assigned through internal agreement, that shall coordinate with the Commission throughout the entire application process. It shall be also responsible for coordinating with and disseminating all pertinent information to the other consortium members.
3. The research grant application may be submitted by a department, office or unit within the HEI, or by a full-time faculty (teaching or non-teaching) provided that the research proposal is endorsed by the president of the HEI, or the equivalent.
4. Members of the CHED – Philippine Higher Education Research Network (PHERNet) and Higher Education Regional Research Centers (HERRC), or other entities convened by the Commission for the advancement of research and extension, are given priority in accessing funds provided that the proposed research:
 - a. Involves a partnership with a non-PHERNet/HERRC school, to allow for the transfer of best practices, and institutional capacity building; and
 - b. is meritorious proposal and is clearly responsive to the call.

Research Eligibility

1. The research theme must be aligned with either:
 - a. The institution's choice of research niche and agenda;
 - b. CHED's inter-/trans-disciplinary research platforms; or
 - c. The various national and international research agenda as identified by National Government Agencies (i.e. National Higher Education Research Agenda, National R&D Agenda, Sustainable Development Goals).
2. Must list all targeted research outputs or products that the research intends to accomplish, including, but not limited to, papers for publication, patents, trademarks, books, public and media engagements, and others.
3. Must have the prior approval and endorsement of the HEI's University Research Council (URC) or Institutional Research Board (IRB). This endorsement must certify the proposal's technical merit and ethical standards.
4. Must run for a maximum period of two (2) years. The validity of the funding will likewise be for this duration. A 'no-cost project extension' request may be submitted by the HEI in writing and shall be subject to the approval of the Commission, based on the preliminary evaluation of the progress of the project. Requests for extension must be submitted at least one (1) month prior to the end of the project duration.
5. In meritorious cases, additional funding may be requested for the continuation of the project beyond its original scope. This is treated as a new phase for the project, and thus will require a separate research proposal.
6. Priority will be given to projects that demonstrate a) inter- or trans-disciplinary approaches to finding solutions to a research problem, b) alliance between the academe and industry or c) solutions or services that will enhance higher education research management and/or support policies.

IV. General Instructions

1. Lead HEIs must submit an accomplished CHED Capsule Proposal Form together with its attachments (ANNEX: *CHED RDE Concept Note*, CV, Work and Financial Plan, URC/IRB Ethical Clearance Certification)
2. All signed proposals with attachments must be submitted via e-mail, in .PDF file format on or before **May 01, 2017**.
3. Address all submissions to **Dr. Custer C. Deocaris**, Chief, Research Management Division, via **chedresearch@gmail.com** with subject "*GIA2017 – HEI – Surname of Lead Researcher*".
4. Capsule Proposals submitted after the deadline shall not be considered for review by the technical panel designated by CHED RMD.
5. Capsule proposals will be reviewed based on the principles and general criteria articulated in **CMO No. 52, s.2016**
6. Only those whose project concept notes pass the technical panel review will be asked to write and submit a full-blown proposal and proceed to the next steps. These requirements and procedures will be communicated to the proponents who qualify in the short list.
7. Results of the review of concept notes are expected to be announced on **May 22, 2017**.
8. The directive to write and submit a full blown proposal **is not** a guarantee of an award or grant.
9. Exchanges by officials or staff of OPRKM RMD with proponents for purposes of clarification regarding their submissions constitute neither an offer nor a rejection by CHED. CHED reserves the right to conduct a pre-award audit/ survey which may not be limited to a) interviews with principal and co-investigators to establish their ability to perform grant duties under project conditions; b) review of proponent's financial condition (i.e. unliquidated funds from Philippine government, personnel policies and procedures); and c) site visits to participating institutions, field sites and laboratories.
10. CHED may evaluate proposals and award a grant without discussion with proponents
11. The Commission on Higher Education reserves the right to not consider any or all proposals.

V. Grant Limit

CHED OPRKM RMD implements and enforces strictly the implementing guidelines for CHED GIA Program. Depending on the project's goals and breadth, the GIA comes in different categories in terms of grant ceilings.

Category A1: ≤ Php 600,000 for 1 year

Category A2: ≤ Php 1 Million for 1 year

Category B: >Php 1 Million, ≤ Php 2 Million for up to 2 years

Category C: >Php 2 Million, ≤ Php 5 Million for up to 2 years

Category D: >Php 5 Million, ≤ Php 10 Million for up to 2 years

VI. ANNEX

CHED RDE Concept Note (Capsule proposal template)